



Express Mail No.: EL 452 482 635 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
SAMOILOV et al.

Application No. 10/014,466

Filed: December 11, 2001

For: *Waveguides Such as SiGeC Waveguides  
and Method of Fabricating the Same*

Attorney Docket No.: 5926 P01  
USA/PDC/OSI/BG

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION AND REQUEST FOR CORRECTED FILING RECEIPT

Initial Patent Examination Division  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application for the above referenced application, mailed on January 23, 2002, applicants' attorney submits herewith the return copy of the Notice, Part 2; and a Combined Declaration and Power of Attorney.

Applicant's attorney also requests correction of the Filing Receipt. Please change the attorney docket number to 5926 P01 USA/PDC/OSI/BG. Also, a typographical error was noted in the spelling of inventor Samoilov's name as well as his last name being placed first. Please correct his name to read: ARKADII V. SAMOILOV. A marked up copy of the Filing Receipt is enclosed.

The fee for this response is estimated to be \$130.00. The Commissioner is hereby authorized to charge any required fees to Pennie & Edmonds LLP Deposit Account Number 16-1150. A copy of this sheet is enclosed for such purpose.

Respectfully submitted,

Date March 14, 2002

Francis E. Morris

24,615

(Reg. No.)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, NY 10036-2711  
(650) 493-4935



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10-014,466	12/11/2001	Samoilov V. Archadii	006286 (10732-042-999)

CONFIRMATION NO. 4575

## FORMALITIES LETTER



\*OC000000007339889\*

PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O. BOX 450A  
Santa Clara, CA 95052

Date Mailed: 01/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/21/2002 TBESHAH1 00000052 161150 10014466

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 306-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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10/014,466	12/11/2001	2874	2234	<del>006286</del> <del>(10732-042-</del> <del>999)</del>	18	75	9
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5426 P01 USA/PDL/OSI/BG

CONFIRMATION NO. 4575

**FILING RECEIPT**



\*OC000000007339888\*

PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O. BOX 450A  
Santa Clara, CA 95052

Date Mailed: 01/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s) Arkadii V. Samoilov  
~~Samoilov V. Arkadii~~, Sunnyvale, CA;  
Dean E. Berlin, San Jose, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/866,172 05/24/2001

**Foreign Applications**

If Required, Foreign Filing License Granted 01/22/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Waveguides such as SiGeC waveguides and method of fabricating the same

**Preliminary Class**